

# Administrative Fees



## IA Advisory Services (IAAS) Nominee Plans

### Annual Trustee Fees

Registered and non-registered accounts	\$99 (per entity) <sup>1</sup>
Clients with assets of \$20,000 or more in IA Clarington Funds held in an IAAS nominee account	No charge

### Plan Transfer Fees

Total plan transfer to another Broker/Intermediary (termination of the plan)	\$150 per plan
Partial plan transfer to another Broker/Intermediary	\$75 per plan

### Other Administrative Fees

Paper Statement Fee	\$25/year <sup>2</sup>
Returned cheque	\$30
Research fee	\$50/hour
Estate/Divorce/Bankruptcy settlement	\$150
Withdrawal/payment by direct deposit or by cheque	No charge
HBP or LLP withdrawal	No charge
Tax Slip/contribution receipt duplicate	No charge

1 – “Per entity” implies per client in the nominee program. One entity (client) can hold one or more accounts (Registered, Open, TFSA, etc.) for \$99. Joint accounts, ITF accounts, corporate accounts, and any other accounts are considered separate entities will be charged a fee of \$99 each.

2 – Effective 2012, all client statements will be available online and each investor who registers for electronic statements will be notified by email when their current statement is available online for viewing. A \$25/yr charge will apply to those clients who wish to receive paper statements.

Notes: – Annual fees cover the period from July 1 to June 30

– Annual fees are subject to change following 60-days notice

– Fees are subject to taxes where applicable

– FundEX/IAAS reserves the right to charge for certain transactions and services not listed on this fee schedule

Last Update: April 30, 2011